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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q88621

Gareth WAKEFIELD, et al.

Appln. No.: 10/524,976

Group Art Unit: 1755

Confirmation No.: 5846

Examiner: Not Yet Known

Filed: February 18, 2005

For: LUMINESCENT COMPOUNDS

SECOND REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

ATTN: Office of Initial Patent Examination

Filing Receipt Correction

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following correction:

Applicant: Mark Green, Oxford, United Kingdom

Gareth Wakefield, Oxford, United Kingdom

Verification for the requested correction is indicated on the declaration along with a date-stamped filing receipt dated November 2, 2005.

Respectfully submitted,


Gordon Kit
Registration No. 30,764

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE
23373
CUSTOMER NUMBER

Date: July 28, 2006



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
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| APPL NO. | FILING OR 371 (c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/524,976 | 11/02/2005 | 1755 | 1330 | Q88621 | 2 | 26 | 1 |

CONFIRMATION NO. 5846

CORRECTED FILING RECEIPT



OC000000019456898

23373
 SUGHRUE MION, PLLC
 2100 PENNSYLVANIA AVENUE, N.W.
 SUITE 800
 WASHINGTON, DC 20037

Date Mailed: 06/30/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Mark Green, Oxford, UNITED KINGDOM;

Gareth Wakefield, Oxford, United Kingdom

Assignment For Published Patent Application

Oxonica Limited, Kidlington, UNITED KINGDOM

Power of Attorney: The patent practitioners associated with Customer Number 23373.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB03/03649 08/20/2003

Foreign Applications

UNITED KINGDOM 0219409.0 08/20/2002

If Required, Foreign Filing License Granted: 06/30/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/524,976**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No



Docket No.: Q-_____

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, We hereby declare that: Our residence, mailing address, and citizenship are as stated below next to our names. We believe we are an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

LUMINESCENT COMPOUNDS

the application of which

☐ is attached hereto

OR

☒ was filed on February 18, 2005, as United States Application Number or PCT International Application Number 10/524,976 (Conf. No. _____), and was amended on February 18, 2005 (if applicable).

We hereby state that we have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.

We acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part application(s), material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

We hereby claim foreign priority under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application(s) which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application(s) having a filing date before that of the application on which priority is claimed.

| Prior Application Number(s) | Country | Filing Date | Priority Claimed | |
|-----------------------------|-----------------------|------------------------|-------------------------------------|--------------------------|
| | | | Yes | No |
| <u>PCT/GB03/03649</u> | <u>WIPO</u> | <u>August 20, 2003</u> | <input checked="" type="checkbox"/> | |
| <u>0219409.0</u> | <u>UNITED KINGDOM</u> | <u>August 20, 2002</u> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

We hereby claim benefit under 35 United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)

Filing Date

We hereby claim benefit under 35 United States Code §120 of any United States application(s) or §365(c) of any PCT International application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in a listed prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge my duty to disclose any information material to the patentability of this application as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. or International Application Number(s)

U.S. or International Filing Date

Status

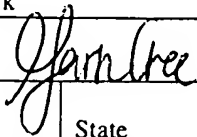

We hereby appoint all attorneys of **SUGHRUE MION, PLLC** who are listed under the USPTO Customer Number shown below as my attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, recognizing that the specific attorneys listed under that Customer Number may be changed from time to time at the sole discretion of Sughrue Mion, PLLC, and request that all correspondence about the application be addressed to the address filed under the same USPTO Customer Number.

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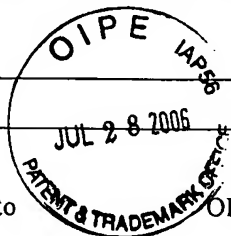
CUSTOMER NUMBER

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | | | |
|--|-------|----------------------------------|----------------------------|
| NAME OF SOLE OR FIRST INVENTOR: | | | |
| Given Name (first and middle [if any]) Mark | | Family Name or Surname GREEN | |
| Inventor's Signature  | | Date 28.6.05 | |
| Residence: City Oxford | State | Country UNITED KINGDOM | Citizenship United Kingdom |
| Mailing Address: c/o Oxonica Limited, Unit 7 Begbroke Science & Business Park, Sandy Lane, Yarnton | | | |
| City Oxford, Kidlington | State | Zip OX5 1PF | Country UNITED KINGDOM |
| NAME OF SECOND INVENTOR: | | | |
| Given Name (first and middle [if any]) Gareth | | Family Name or Surname WAKEFIELD | |
| Inventor's Signature  | | Date | |
| Residence: City Oxford | State | Country UNITED KINGDOM | Citizenship United Kingdom |
| Mailing Address: c/o Oxonica Limited, Unit 7 Begbroke Science & Business Park, Sandy Lane, Yarnton | | | |
| City Oxford, Kidlington | State | Zip OX5 1PF | Country UNITED KINGDOM |
| NAME OF THIRD INVENTOR: | | | |
| Given Name (first and middle [if any]) | | Family Name or Surname | |
| Inventor's Signature | | Date | |
| Residence: City | State | Country | Citizenship |
| Mailing Address: | | | |
| City | State | Zip | Country |
| NAME OF FOURTH INVENTOR: | | | |
| Given Name (first and middle [if any]) | | Family Name or Surname | |
| Inventor's Signature | | Date | |
| Residence: City | State | Country | Citizenship |
| Mailing Address: | | | |
| City | State | Zip | Country |
| NAME OF FIFTH INVENTOR: | | | |
| Given Name (first and middle [if any]) | | Family Name or Surname | |
| Inventor's Signature | | Date | |
| Residence: City | State | Country | Citizenship |
| Mailing Address: | | | |
| City | State | Zip | Country |

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, We hereby declare that: Our residence, mailing address, and citizenship are as stated below next to our names. We believe we are an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:



LUMINESCENT COMPOUNDS

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is attached hereto

OR

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We hereby claim foreign priority under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application(s) which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application(s) having a filing date before that of the application on which priority is claimed.

| Prior Application Number(s) | Country | Filing Date | Priority Claimed | |
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Prior U.S. or International Application Number(s)

U.S. or International Filing Date

Status

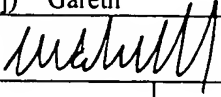
We hereby appoint all attorneys of **SUGHRUE MION, PLLC** who are listed under the USPTO Customer Number shown below as my attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, recognizing that the specific attorneys listed under that Customer Number may be changed from time to time at the sole discretion of Sughrue Mion, PLLC, and request that all correspondence about the application be addressed to the address filed under the same USPTO Customer Number.

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | | | |
|--|-------|----------------------------------|----------------------------|
| NAME OF SOLE OR FIRST INVENTOR: | | | |
| Given Name (first and middle [if any]) Mark | | Family Name or Surname GREEN | |
| Inventor's Signature | | Date | |
| Residence: City Oxford | State | Country UNITED KINGDOM | Citizenship United Kingdom |
| Mailing Address: c/o Oxonica Limited, Unit 7 Begbroke Science & Business Park, Sandy Lane, Yarnton | | | |
| City Oxford, Kidlington | State | Zip OX5 1PF | Country UNITED KINGDOM |
| NAME OF SECOND INVENTOR: | | | |
| Given Name (first and middle [if any]) Gareth | | Family Name or Surname WAKEFIELD | |
| Inventor's Signature  | | Date 26/5/05 (26 MAY 2005) | |
| Residence: City Oxford | State | Country UNITED KINGDOM | Citizenship United Kingdom |
| Mailing Address: c/o Oxonica Limited, Unit 7 Begbroke Science & Business Park, Sandy Lane, Yarnton | | | |
| City Oxford, Kidlington | State | Zip OX5 1PF | Country UNITED KINGDOM |
| NAME OF THIRD INVENTOR: | | | |
| Given Name (first and middle [if any]) | | Family Name or Surname | |
| Inventor's Signature | | Date | |
| Residence: City | State | Country | Citizenship |
| Mailing Address: | | | |
| City | State | Zip | Country |
| NAME OF FOURTH INVENTOR: | | | |
| Given Name (first and middle [if any]) | | Family Name or Surname | |
| Inventor's Signature | | Date | |
| Residence: City | State | Country | Citizenship |
| Mailing Address: | | | |
| City | State | Zip | Country |
| NAME OF FIFTH INVENTOR: | | | |
| Given Name (first and middle [if any]) | | Family Name or Surname | |
| Inventor's Signature | | Date | |
| Residence: City | State | Country | Citizenship |
| Mailing Address: | | | |
| City | State | Zip | Country |



ATTN: MISSING PARTS 35

PLEASE DATE STAMP AND RETURN TO US - BOX 235X

In re Application of:

Mark GREEN et al

Conf. No.: 5846

Appln. No.: 10/524,976

Group Art Unit: 0000

Filed: February 18, 2005

Examiner: Unassigned

For: LUMINESCENT COMPOUNDS



PAPERS ENTITLED:

- (1) NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) (copy);
- (2) RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS (accompanied by a copy of the executed Declaration and Power of Attorneys (2) and check no. 284723 in the amount of \$130.00);
- (3) FORM PTO-1595 (accompanied by a copy of the execute Assignment and check no. 284724 in the amount of \$40.00);
- (4) INFORMATION DISCLOSURE STATEMENT; and
- (5) FORM PTO/SB/08 A & B (modified) (accompanied by a Search Report Under Section 17 and 31 references).

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

DOCKET NO.: Q-88621

ATTORNEY: GK/vf

DATE: November 2, 2005

WASHINGTON OFFICE

23373

CUSTOMER NUMBER